

REQUEST FOR QUALICATIONS

FOR

ON CALL ENGINEERING SERVICES

CITY OF TULELAKE

Department of Public Works

PO Box 847

591 Main Street

Tulelake, California 96134

Released on May 28, 2020

REQUEST FOR QUALIFICATIONS

FOR

ON CALL ENGINEERING SERVICES

Dear Proposers:

The City of Tulelake (hereinafter referred to as the "City") is requesting for qualifications from a qualified private firm, to establish a contract for ON CALL ENGINEERING SERVICES.

Sealed statement of qualifications shall be received by the City of Tulelake to: City of Tulelake, Attn: Brett Nystrom, Director of Public Works, P. O. Box 847, 591 Main Street, Tulelake, California 96134, on or before the hour of **4:00 p.m. on June 23, 2020.**

It shall be the responsibility of the proposer to deliver the statement of qualifications to the Tulelake City Hall office by the announced time. Delivery Location: City of Tulelake, City Hall, 591 Main Street, Tulelake, California 96134.

The statement of qualifications shall be to the attention of Brett Nystrom, Director of Public Works, in a sealed envelope identified on the outside with the Offeror's Business Name, Proposer Identity, Request for Qualifications for On Call Engineering Services and the due date. Proposals will not be publicly opened.

The Request for Qualifications for On Call Engineering Services may be downloaded from the City of Tulelake website at www.cityoftulelake.com.

1. BACKGROUND

The City of Tulelake, established in 1937, is located approximately 4 miles from the south-central Oregon border and is surrounded by farms and ranches within the Tulelake Basin. Tulelake was established by World War I and II Veterans and is known for its wildlife refuges and the historic Tulelake Lava Beds National Monument. The City was developed after the Bureau of Reclamation put in a water drainage system of a shallow Tule Lake to create farm ground. In the late 1920's and the late 1940's there was a government land lottery system that allowed Veterans to put in for 80 acre and 160-acre parcels of land. Due to this, the City of Tulelake was created to provide the needed services for the farming and ranching community.

Today much of the City of Tulelake residents are farmworkers and due to this the City is in need of economic development and low-to-moderate income housing. To do this the City must have the availability of resources to provide the needed services for this development. There is a resiliency within the community and its residents that wants to see the City of Tulelake prosper for the coming

generations.

2. SCHEDULE OF EVENTS

This request for qualifications will be governed by the following schedule:

Release of RFQ May 28, 2020

Deadline for Written Questions June 10, 2020 by 4:00 p.m.

Responses to Questions June 12 2020 by 3:30 p.m.

Proposals are Due June 23, 2020 by 4:00 p.m.

Interviews (if held) June 29-30, 2020

Approval of Contract July 7, 2020

All dates are subject to change at the discretion of the City.

3. SCOPE OF WORK

The City of Tulelake is soliciting statement of qualifications from qualified firms to provide oncall engineering services for various types of public works projects for a five-year period. Qualified firms shall have experience in the preparation of design, plans, specifications, cost estimates, and contract documents for capital projects including Street Improvements, Traffic Engineering; Storm Drain Improvements, Sewer Improvements, Water Improvements and Wastewater Improvements, as well as, plan checks of the above-mentioned. Qualified firms shall have knowledge and be able to accommodate working with the different federal and state grant related programs of State Transportation Improvement Program (STIP), Clean Water Act, Community Development Block Grant (CDBG), State Water Resources Control Board (SWRCB) and the North Coast Regional Water Quality Control Board (NCRWQCB). See Appendix G. Upon evaluation, the City of Tulelake will make an award recommendation for a contract to the top three (3) responsible and qualified bidders.

Design engineering, surveying and architecture services may include but not limited to the following:

- 1. Preparation of engineering quantity estimates and costs.
- 2. Engineering project management.
- 3. Structural design.
- 4. Survey services necessary for required scope of work.
- 5. Land surveying and map/easement or legal description preparation.
- 6. Federally and State-mandated reports required for NPDES, general construction permit.
- 7. Preparation of grading improvement plans (mass, rough and precise).
- 8. Preparation of traffic plans (signing and striping plans, traffic detour and traffic

- staging plans, and signals).
- 9. Preparation of street improvement plans (widening and rehabilitations).
- 10. Preparation of traffic studies/reports to ensure conformance with traffic plans.
- 11. Preparation of hydrology and hydraulic reports.
- 12. Water well/booster pump stations; water main distribution or transmission improvements/replacement of pipelines (potable and recycled).
- 13. Sewer lines and sewer lift stations.
- 14. Preparation of sewer improvement plans.
- 15. Preparation of water and sewer pressure and capacity calculations and reports.
- 16. Preparation of funding applications.
- 17. Construction administration.

Plan check services may also be required with adherence to the following schedule:

- 1. Initial and 2nd Plan Checks to be delivered to the City within 14 calendar days.
- 2. All subsequent plan checks shall be completed and delivered to the City within seven (7) calendar days.

4. PROPOSAL FORMAT GUIDELINES

Interested Consultants are to provide the City of Tulelake with a thorough statement using the following guidelines:

Statement of qualifications should be typed and should contain no more than 20 typed pages using a 12-point font size, including transmittal letter and resumes of key people, but excluding Index/Table of Contents, tables, charts, and graphic exhibits. Each statement will adhere to the following order and content of sections. Statement should be straightforward, concise and provide "layman" explanations of technical terms that are used. Emphasis should be concentrated on conforming to the RFQ instructions, responding to the RFQ requirements, and on providing a complete and clear description of the offer. Statements which appear unrealistic in terms of technical commitments, lack of technical competence or are indicative of failure to comprehend the complexity and risk of this contract may be rejected. The following sections are to be included in the Proposer's response:

• Vendor Application Form and Cover Letter

Complete Appendix A, "Request for Qualifications-Vendor Application Form" and attach this form to the cover letter. A cover letter, not to exceed three pages in length, should summarize key elements of the statement. An individual authorized to bind the consultant must sign the letter. The letter must stipulate that the consultants fees will be valid for a period of at least 180 days. Indicate the address and telephone number of the Consultant's office located nearest to Tulelake, California and the office from which the project will be managed.

• Background and Project Summary Section

The Background and Project Summary Section should describe your understanding of the City,

the work to be done, and the objectives to be accomplished. Refer to Scope of Work of this RFQ.

• Methodology Section

Provide a detailed description of the approach and methodology to be used to accomplish the Scope of Work of this RFQ. The Methodology Section should include:

An implementation plan that describes in detail (i) the methods, including controls by which your firm or entity manages projects of the type sought by this RFQ; (ii) methodology for soliciting and documenting views of internal and external stakeholders; (iii) and any other project management or implementation strategies or techniques that the respondent intends to employ in carrying out the work.

- 1. Detailed description of efforts your firm or entity will undertake to achieve client satisfaction and to satisfy the requirements of the "Scope of Work" section.
- 2. Include your plan to deal with fluctuation in service needs and any associated price adjustments that may also include value engineering.
- 3. Detailed description of specific tasks you will require from City staff. Explain what the respective roles of City staff and your staff would be to complete the tasks specified in the Scope of Work.
- 4. Proposers are encouraged to provide additional innovative and/or creative approaches for providing the service that will maximize efficient, cost-effective operations or increased performance capabilities. In addition, the City will consider proposals that offer alternative service delivery means and methods for the services desired.
- 5. Proposers are also requested to identify any City owned facilities or property which Proposer would propose to use or lease, purchase, or rent from the City in connection with the services to be performed, including information about the terms of any proposed lease, purchase or use of such equipment and facilities, and how this proposed structure affects the overall cost proposal to the City, if applicable.

• Staffing

Provide a list of individual(s) who will be working on this project and indicate the functions that each will perform. Include a resume for each designated individual.

Upon award and during the contract period, if the Consultant chooses to assign different personnel to the project, the Consultant must submit their names and qualifications including information listed above to the City for approval before they begin work.

• **Qualifications**

The information requested in this section should describe the qualifications of the firm or entity, key staff and sub-contractors performing projects within the past five years that are

similar in size and scope to demonstrate competence to perform these services. Information shall include:

Names of key staff that participated on named projects and their specific responsibilities with respect to this scope of work.

A summary of your firm's or entity's demonstrated capability, including length of time that your firm has provided the services being requested in this Request for Proposal.

For private Proposers, provide at least three references that received similar services from your firm. The City of Tulelake reserves the right to contact any of the organizations or individuals listed. Information provided shall include:

- Client Name
- Project Description
- o Project start and end dates
- o Client project manager name, telephone number, and e-mail address.

• Fee Proposal

All Proposers are required to use the form in Appendix D to be submitted with their proposal. Pricing instructions should be clearly defined to ensure fees proposed can be compared and evaluated. Fee proposals shall be valid for a minimum of 180 days following submission.

<u>Disclosure</u>

Please disclose any and all past or current business and personal relationships with any current Tulelake elected official, appointed official, City employee, or family member of any current Tulelake elected official, appointed official, or City employee. *Any past or current business relationship may not disqualify the firm from consideration.*

• Sample Agreement

The firm selected by the City will be required to execute an Agreement for Services (Agreement) with the City. The form of the Agreement is enclosed as Appendix B but may be modified to suit the specific services and needs of the City. If a Proposer has any exceptions or conditions to the Agreement, these must be submitted for consideration with the proposal. Otherwise, the Proposer will be deemed to have accepted the form of Agreement. See Section 13, below.

• Checklist of Forms to Accompany Proposal

As a convenience to Proposers, following is a list of the forms, included as appendices to this RFQ, which should be included with proposals

- (1) Vendor Application Form
- (2) Ex Parte Communications Certificate
- (3) Price Proposal Form
- (4) Disclosure of Government Positions
- (5) Disqualifications Questionnaire

5. PROCESS FOR SUBMITTING STATEMENTS OF QUALIFICATIONS

• Content of Proposal

The proposal must be submitted using the format as indicated in the proposal format guidelines.

• Preparation of Proposal

Each proposal shall be prepared simply and economically, avoiding the use of elaborate promotional material beyond those sufficient to provide a complete, accurate and reliable presentation.

• Number of Proposals

Submit one original, two (2) hard copies plus one flash drive of your proposal in sufficient detail to allow for thorough evaluation and comparative analysis. In the event of a conflict between the original and any hard copy or flash drive copy, the original shall control.

• Submission of Proposals

Complete written proposals must be submitted in sealed envelopes marked and received no later than 4:00 p.m. (P.S.T) on June 23, 2020 to the address below. Proposals will not be accepted after this deadline. E-mailed proposals will be accepted during the COVID-19 Pandemic.

City of Tulelake PO Box 847 591 Main Street Tulelake, CA 96134

Email: cityoftulelake@cot.net and tulelakepublicworks@cot.net

Inquiries

Questions about this RFQ must be directed in writing, via e-mail to:

Brett Nystrom, Director of Public Works

Email: tulelakepublicworks@cot.net

The City reserves the right to amend or supplement this RFQ prior to the proposal due date. All amendments, responses to questions received, and additional information will be posted to the Tulelake City website on the home page at www.cityoftulelake.com and should check this web page daily for new information. The City will endeavor to answer all written questions timely received no later than 3:30 p.m. on June 12, 2020. The City reserves the right not to answer all questions.

From the date that this RFQ is issued until a firm or entity is selected and the selection is announced, firms or public entities are not allowed to communicate outside the process set forth in this RFQ with any City employee other than the contracting officer listed above regarding this RFQ. The City reserves the right to reject any proposal for violation of this provision. No questions other than written will be accepted, and no response other than written will be binding upon the City.

• Conditions for Proposal Acceptance

This RFQ does not commit the City to award a contract or to pay any costs incurred for any services. The City, at its sole discretion, reserves the right to accept or reject any or all proposals received as a result of this RFQ, to negotiate with any qualified source(s), or to cancel this RFQ in part or in its entirety. The City may waive any irregularity in any proposal. All proposals will become the property of the City of Tulelake, USA. If any proprietary information is contained in the proposal, it should be clearly identified.

6. EVALUATION CRITERIA

The City's evaluation and selection process will be conducted in accordance with Article 4, Section 5-105 of the City's Purchasing and Procedures Policy (Policy). In accordance with the Policy, the lowest responsible proposer(s) will be determined based on evaluation of qualitative factors in addition to price. At all times during the evaluation process, the following criteria will be used. Sub-criteria are not necessarily listed in order of importance. Additional sub criteria that logically fit within a particular evaluation criteria may also be considered even if not specified below.

1. Qualifications of Entity and Key Personnel ----- 45%

Includes ability to provide the requested scope of services, the Proposer's financial capacity, recent experience conducting work of similar scope, complexity, and magnitude for other public agencies of similar size, references. The Proposer's past performance history with the City will also be factored in the Proposer's qualifications.

2. Approach to Providing the Requested Scope of Services ----- 15%

Includes an understanding of the RFQ and of the project's scope of services, knowledge of applicable laws and regulations related to the scope of services, in addition to local knowledge and experience specific to the City of Tulelake.

3. Price Proposal---- 30%

Price Proposals will be evaluated based on the fees submitted in Appendix D.

4. Innovative and/or creative approaches to providing the services that provide additional efficiencies or increased performance capabilities ---- 10%

7. EVALUATION OF QUALIFICATIONS AND SELECTION PROCESS

In accordance with its Policy, the City will adhere to the following procedures in evaluating proposals. An Evaluation/Selection Committee (Committee), which may include members of the City's staff and possibly one or more outside experts, will screen and review all proposals according to the weighted criteria set forth above. While price is one basic factor for award, it is not the sole consideration.

A. Responsiveness Screening

Proposals will first be screened to ensure responsiveness to the RFQ. The City may reject as non-responsive any proposal that does not include the documents required to be submitted by this RFQ. At any time during the evaluation process, the City reserves the right to request clarifications or additional information from any or all Proposers regarding their proposals.

B. <u>Initial Proposal Review</u>

The Committee will initially review and score all responsive written proposals based upon the Evaluation Criteria set forth above. The Committee may also contact Proposer's references. Proposals that receive the highest evaluation scores may be invited to the next stage of the evaluation process. The City may reject any proposal in which a Proposer's approach, qualifications, or price is not considered acceptable by the City. An unacceptable proposal is one that would have to be substantially rewritten to make it acceptable. The City may conclude the evaluation process at this point and recommend award to the lowest responsible bidder. Alternatively, the City may elect to negotiate directly with one or more Proposers to obtain the best result for the City prior to making a recommendation or selection.

C. Interviews, Reference Checks, Revised Proposals, Discussions

Following the initial screening and review of proposals, the Proposers included in this stage of the evaluation process may be invited to participate in an oral interview. Interviews, if held, are tentatively scheduled for the week of June 30, 2020, and will be conducted at the Tulelake City Hall, 591 Main Street, Tulelake, CA 96134. This date is subject to change. The individual(s) from Proposer's firm or entity that will be responsible for carrying out the contract, if awarded, should be present at the oral interview. The oral interview may, but is not required to, use a written question/answer format for the purpose of clarifying the intent of any portions of the proposal.

In addition to conducting an oral interview, the City may during this stage of the evaluation process also contact and evaluate the Proposer's references, contact any Proposer to clarify any response or request revised or additional information, contact any current users of a Proposer's services, solicit information from any available source concerning any aspect of a proposal, and seek and review any other information deemed pertinent to the evaluation process.

Following conclusion of this stage of the evaluation process, the Committee will again rank all Proposers according to the evaluation criteria set forth above. The Committee may conclude the evaluation process at this point, and make a recommendation for award, or it may request Best and Final Offers from Proposers. The City may accept the proposal or negotiate the terms and conditions of the agreement with the highest ranked firm, which shall be determined to be the lowest responsible bidder. The City may recommend award without Best and Final Offers, so Proposers should include their best proposal with their initial submission.

Recommendation for award is contingent upon the successful negotiation of final contract terms. Negotiations shall be confidential and not subject to disclosure to competing Proposers unless an agreement is reached. If contract negotiations cannot be concluded successfully within a time period determined by the City, the City may

terminate negotiations and commence negotiations with the next highest scoring Proposer or withdraw the RFQ.

8. PROTEST PROCEDURES

Failure to comply with the rules set forth herein may result in rejection of the protest. Protests based upon restrictive specifications or alleged improprieties in the proposal procedure which are apparent or reasonably should have been discovered prior to receipt of proposals shall be filed in writing with the RFQ Facilitator at least 10 calendar days prior to the deadline for receipt of proposals. The protest must clearly specify in writing the grounds and evidence on which the protest is based.

Protests based upon alleged improprieties that are not apparent or which could not reasonably have been discovered prior to submission date of the proposals, such as disputes over the staff recommendation for contract award, shall be submitted in writing to the RFQ Facilitator, within forty-eight hours from receipt of the notice from the City advising of staff's recommendation for award of contract. The protest must clearly specify in writing the grounds and evidence on which the protest is based. The RFQ Facilitator will respond to the protest in writing at least three days prior to the meeting at which staff's recommendation to the City Council will be considered. Should Proposer decide to appeal the response of the RFQ Facilitator, and pursue its protest at the Council meeting, it will notify the RFQ Facilitator of its intention at least two days prior to the scheduled meeting.

9. CONFIDENTIALITY

The California Public Records Act (Cal. Govt. Code Sections 6250 et seq.) mandates public access to government records. Therefore, unless information is exempt from disclosure by law, the content of any request for explanation, exception, or substitution, response to this RFQ, protest, or any other written communication between the City and Proposer, shall be available to the public. The City intends to release all public portions of the proposals following the evaluation process at such time as a recommendation is made to the City Council.

If Proposer believes any communication contains trade secrets or other proprietary information that the Proposer believes would cause substantial injury to the Proposer's competitive position if disclosed, the Proposer shall request that the City withhold from disclosure the proprietary information by marking each page containing such proprietary information as confidential. Proposer may not designate its entire proposal as confidential nor designate its Price Proposal as confidential.

Submission of a proposal shall indicate that, if Proposer requests that the City withhold from disclosure information identified as confidential, and the City complies with the Proposer's request, Proposer shall assume all responsibility for any challenges resulting from the non-disclosure, indemnify and hold harmless the City from and against all damages (including but not limited to attorney's fees that may be awarded to the party requesting the Proposer information), and pay any and all costs and expenses related to the withholding of Proposer

information. Proposer shall not make a claim, sue, or maintain any legal action against the City or its directors, officers, employees, or agents concerning the disclosure, or withholding from disclosure, of any Proposer information. If Proposer does not request that the City withhold from disclosure information identified as confidential, the City shall have no obligation to withhold the information from disclosure and may release the information sought without any liability to the City.

10. EX PARTE COMMUNICATIONS

Proposers and Proposers' representatives should not communicate with the City Council members about this RFQ. In addition, Proposers and Proposers' representatives should not communicate outside the procedures set forth in this RFQ with an officer, employee or agent of the City, including any member of the evaluation panel, with the exception of the RFQ Facilitator, regarding this RFQ until after Contract Award. Proposers and their representatives are not prohibited, however, from making oral statements or presentations in public to one or more representatives of the City during a public meeting.

A "Proposer" or "Proposer's representative" includes all of the Proposer's employees, officers, directors, consultants and agents, any subcontractors or suppliers listed in the Proposer's proposal, and any individual or entity who has been requested by the Proposer to contact the City on the Proposer's behalf. Proposers shall include the Ex Parte Communications form (Appendix C) with their proposals certifying that they have not had or directed prohibited communications as described in this section.

11. CONFLICT OF INTEREST

The Proposer warrants and represents that it presently has no interest and agrees that it will not acquire any interest which would present a conflict of interest under California Government Code sections 1090 et seq., or sections 87100 et seq., during the performance of services under any Agreement awarded. The Proposer further covenants that it will not knowingly employ any person having such an interest in the performance of any Agreement awarded. Violation of this provision may result in any Agreement awarded being deemed void and unenforceable.

12. DISCLOSURE OF GOVERNMENTAL POSITION

In order to analyze possible conflicts that might prevent a Proposer from acting on behalf of the City, the City requires that all Proposers disclose in their proposals any positions that they hold as directors, officers, or employees of any governmental entity. Additional disclosure may be required prior to contract award or during the term of the contract. Each Proposer shall disclose whether any owner or employee of the firm currently hold positions as elected or appointed officials, directors, officers, or employees of a governmental entity or held such positions in the past twelve months using the attached "Disclosure of Government Positions Form." (See Appendix F.)

13 CONDITIONS TO AGREEMENT, IF ANY.

The selected Proposer will execute an Agreement for Services with the City describing the Scope of Services to be performed, the schedule for completion of the services, compensation, and other pertinent provisions. The contract shall follow the sample form of Agreement provided as Appendix B to this RFQ, which may be modified by City. All Proposers are directed to particularly review the indemnification and insurance requirements set forth in the sample Agreement.

The terms of the agreement, including insurance requirements have been mandated by the City and can be modified only if extraordinary circumstances exist. Submittal of a proposal shall be deemed acceptance of all the terms set forth in this RFQ and the sample Agreement for Services unless the Proposer includes with its proposal, in writing, any conditions or exceptions requested by the Proposer to the proposed Agreement. In accordance with the Municipal Code, the City may consider the scope and number of conditions in evaluation proposals and determining the lowest responsible bidder.

14. DISQUALIFICATION QUESTIONNAIRE

Proposers shall complete and submit, under penalty of perjury, a standard form of questionnaire inquiring whether a Proposer, any officer of a proposer, or any employee of a Proposer who has a proprietary interest in the Proposer, has ever been disqualified, removed, or otherwise prevented from proposing on, or completing a federal, state, or local government project because of a violation of law or safety regulation and if so, to explain the circumstances. A proposal may be rejected on the basis of a Proposer, any officer or employee of such Proposer, having been disqualified, removed, or otherwise prevented from proposing on, or completing a federal, state, or local project because of a violation of law or a safety regulation. See Appendix E.

15. STANDARD TERMS AND CONDITIONS

Addendums

The City reserves the right to amend or supplement this RFQ prior to the proposal due date. All addendums and additional information will be posted to the City of Tulelake Website on the home page at www.cityoftulelake.com. Proposers should check this web page daily for new information.

Cost for Preparing Proposal

The cost for developing the proposal is the sole responsibility of the Proposer. All proposals submitted become the property of the City.

Insurance Requirements

City requires that licensees, lessees, and vendors have an *approved* Certificate of Insurance (not a declaration or policy) or proof of legal self-insurance on file with the City for the issuance of a

permit or contract. Within ten (10) consecutive calendar days of award of contract, successful Proposer must furnish the City with the Certificates of Insurance proving coverage as specified within Appendix B

APPENDIX A



REQUEST FOR QUALIFICATIONS

ON CALL ENGINEERING SERVICES

VENDOR APPLICATION FORM

TYPE OF APPLICANT:	☐ NEW ☐ CURRENT VENDOR
Legal Contractual Name of Corpora	ation:
Contact Person for Agreement:	
Corporate Mailing Address:	
City, State and Zip Code:	
E-Mail Address:	
Phone:	Fax:
Contact Person for Proposals:	
Title:	E-Mail Address:
Business Telephone:	Business Fax:
Is your business: (check one)	
☐ NON-PROFIT CORPORATION	ON FOR PROFIT CORPORATION
☐ CORPORATION	LIMITED LIABILITY PARTNERSHIP
☐ INDIVIDUAL	☐ SOLE PROPRIETORSHIP
☐ PARTNERSHIP	UNINCORPORATED ASSOCIATION

Names & Titles of Corporate Board Members

(Also list Names & Titles of persons with written authorization/resolution to sign contracts)

Names	Title		Phone
	_		
Federal Tax Identification Number:			
City of Tulelake Business License Number:			
(If none, you must obtain a Tulelake Busines	ss License upon awa	ard of con	tract.)
City of Tulelake Business License Expiratio	n Date:		

APPENDIX B

CONTRACT FOR PROFESSIONAL SERVICES BETWEEN THE CITY OF TULELAKE AND

	GREEMENT is entered into thisday of, 2020, by and between TULELAKE, a general law city a municipal corporation ("CITY") and, a California/Oregon corporation ("CONSULTANT").
	RECITALS
	e City does not have the personnel able and/or available to perform the services uired under this agreement.
	erefore, the City desires to contract out for consulting services for certain projects ating to preparation of documents in connection with a design project.
	e Consultant warrants to the City that it has the qualifications, experience and ilities to perform properly and timely the services under this Agreement.
	e City desires to contract with the Consultant to perform the services as described Exhibit A of this Agreement.
NC agree as follow	DW, THEREFORE , based on the foregoing recitals, the City and the Consultant vs:
1. <u>CON</u>	ISIDERATION AND COMPENSATION
A.	As partial consideration, CONSULTANT agrees to perform the work listed in the SCOPE OF SERVICES, attached as EXHIBIT A.
В.	As additional consideration, CONSULTANT and CITY agree to abide by the terms and conditions contained in this Agreement.
C.	As additional consideration, CITY agrees to pay CONSULTANT a total of \$, for CONSULTANT's services, unless otherwise specified by written amendment to this Agreement.
D.	No additional compensation shall be paid for any other expenses incurred, unless first approved by the City Manager or his designee.

CONSULTANT shall submit to CITY, by not later than the 10th day of each

month, its bill for services itemizing the fees and costs incurred during the

previous month. The City shall pay the Consultant all uncontested amounts set forth in the Consultant's bill within 30 days after it is received.

E.

2. SCOPE OF SERVICES.

- A. CONSULTANT will perform the services and activities set forth in the SCOPE OF SERVICE attached hereto as Exhibit A and incorporated herein by this reference.
- B. Except as herein otherwise expressly specified to be furnished by CITY, CONSULTANT will, in a professional manner, furnish all of the labor, technical, administrative, professional and other personnel, all supplies and materials, equipment, printing, vehicles, transportation, office space, and facilities necessary or proper to perform and complete the work and provide the professional services required of CONSULTANT by this Agreement.
- **3.** <u>PAYMENTS</u>. For CITY to pay CONSULTANT as specified by this Agreement, CONSULTANT must submit an invoice to CITY which lists the reimbursable costs, the specific tasks performed, and, for work that includes deliverables, the percentage of the task completed during the billing period.
- 4. <u>TIME OF PERFORMANCE</u>. The services of the CONSULTANT are to commence upon receipt of a notice to proceed from the CITY and shall continue until all authorized work is completed to the CITY's reasonable satisfaction, in accordance with the schedule incorporated in "Exhibit A," unless extended in writing by the CITY.
- **5.** <u>FAMILIARITY WITH WORK.</u> By executing this Agreement, CONSULTANT represents that CONSULTANT has (a) thoroughly investigated and considered the scope of services to be performed; (b) carefully considered how the services should be performed; and (c) understands the facilities, difficulties, and restrictions attending performance of the services under this Agreement.
- **6.** <u>KEY PERSONNEL</u>. CONSULTANT's key person assigned to perform work under this Agreement is ______. CONSULTANT shall not assign another person to oversee the work contemplated by this Agreement without the prior written authorization of the City.
- **8.** <u>CHANGES</u>. CITY may order changes in the services within the general scope of this Agreement, consisting of additions, deletions, or other revisions, and the contract sum and the contract time will be adjusted accordingly. All such changes must be authorized in writing, executed by CONSULTANT and CITY. The cost or credit to CITY resulting from changes in the services will be determined in accordance with written agreement between the parties.

- **9.** <u>TAXPAYER IDENTIFICATION NUMBER</u>. CONSULTANT will provide CITY with a Taxpayer Identification Number.
- **10.** <u>PERMITS AND LICENSES</u>. CONSULTANT will obtain and maintain during the term of this Agreement all necessary permits, licenses, and certificates that may be required in connection with the performance of services under this Agreement.

11. TERMINATION.

- A. Except as otherwise provided, CITY may terminate this Agreement at any time with or without cause. Notice of termination shall be in writing.
- B. CONSULTANT may terminate this Agreement. Notice will be in writing at least 30 days before the effective termination date.
- C. In the event of such termination, the CONSULTANT shall cease services as of the date of termination, all finished or unfinished documents, data, drawings, maps, and other materials prepared by CONSULTANT shall, at CITY's option, become CITY's property, and CONSULTANT will receive just and equitable compensation for any work satisfactorily completed up to the effective date of notice of termination.
- D. Should the Agreement be terminated pursuant to this Section, CITY may procure on its own terms services like those terminated.

12. INDEMNIFICATION.

- CONSULTANT shall indemnify, defend with counsel approved by CITY, and hold A. harmless CITY, its officers, officials, employees and volunteers from and against all liability, loss, damage, expense, cost (including without limitation reasonable attorney fees, expert fees and all other costs and fees of litigation) of every nature arising out of or in connection with CONSULTANT's performance of work hereunder or its failure to comply with any of its obligations contained in this AGREEMENT, regardless of CITY'S passive negligence, but excepting such loss or damage which is caused by the sole active negligence or willful misconduct of the CITY. Should CITY in its sole discretion find CONSULTANT'S legal counsel unacceptable, then CONSULTANT shall reimburse the CITY its costs of defense, including without limitation reasonable attorney fees, expert fees and all other costs and fees of litigation. The CONSULTANT shall promptly pay any final judgment rendered against the CITY (and its officers, officials, employees, and volunteers) covered by this indemnity obligation. It is expressly understood and agreed that the foregoing provisions are intended to be as broad and inclusive as is permitted by the law of the State of California and will survive termination of this Agreement.
 - B. The requirements as to the types and limits of insurance coverage to be maintained

by CONSULTANT as required by Section 17, and any approval of said insurance by CITY, are not intended to and will not in any manner limit or qualify the liabilities and obligations otherwise assumed by CONSULTANT pursuant to this Agreement, including, without limitation, to the provisions concerning indemnification.

- **13.** <u>ASSIGNABILITY</u>. This Agreement is for CONSULTANT's professional services. CONSULTANT's attempts to assign the benefits or burdens of this Agreement without CITY's written approval are prohibited and will be null and void.
- 14. INDEPENDENT CONTRACTOR. CITY and CONSULTANT agree that CONSULTANT will act as an independent contractor and will have control of all work and the way is it performed. CONSULTANT will be free to contract for similar service to be performed for other employers while under contract with CITY. CONSULTANT is not an agent or employee of CITY and is not entitled to participate in any pension plan, insurance, bonus, or similar benefits CITY provides for its employees. Any provision in this Agreement that may appear to give CITY the right to direct CONSULTANT as to the details of doing the work or to exercise a measure of control over the work means that CONSULTANT will follow the direction of the CITY as to end results of the work only.

15. AUDIT OF RECORDS.

- A. CONSULTANT agrees that CITY, or designee, has the right to review, obtain, and copy all records pertaining to the performance of this Agreement. CONSULTANT agrees to provide CITY, or designee, with any relevant information requested and will permit CITY, or designee, access to its premises, upon reasonable notice, during normal business hours for the purpose of interviewing employees and inspecting and copying such books, records, accounts, and other material that may be relevant to a matter under investigation for the purpose of determining compliance with this Agreement. CONSULTANT further agrees to maintain such records for a period of three (3) years following final payment under this Agreement.
- B. CONSULTANT will keep all books, records, accounts, and documents pertaining to this Agreement separate from other activities unrelated to this Agreement.
- 16. <u>CORRECTIVE MEASURES.</u> CONSULTANT will promptly implement any corrective measures required by CITY regarding the requirements and obligations of this Agreement. CONSULTANT will be given a reasonable amount of time as determined by the City to implement said corrective measures. Failure of CONSULTANT to implement required corrective measures shall result in immediate termination of this Agreement.

17. INSURANCE REQUIREMENTS.

- A. The CONSULTANT, at the CONSULTANT's own cost and expense, shall procure and maintain, for the duration of the contract, the following insurance policies:
 - 1. Workers Compensation Insurance as required by law. The Consultant shall carry one million \$1,000,000 per accident and require all subcontractors similarly to provide such compensation insurance for their respective

employees. Any notice of cancellation or non-renewal of all Workers' Compensation policies must be received by the CITY at least thirty (30) days prior to such change. The insurer shall agree to waive all rights of subrogation against the CITY, its officers, agents, employees, and volunteers for losses arising from work performed by the CONSULTANT for City.

- 2. General Liability Coverage. The CONSULTANT shall maintain commercial general liability insurance in an amount of not less than two million dollars (\$2,000,000) per occurrence for bodily injury, personal injury, and property damage, (four million \$4,000,000 aggregate). If a commercial general liability insurance form or other form with a general aggregate limit is used, either the general aggregate limit shall apply separately to the work to be performed under this Agreement or the general aggregate limit shall be at least twice the required occurrence limit.
- 3. Automobile Liability Coverage. The CONSULTANT shall maintain automobile liability insurance covering bodily injury and property damage for all activities of the CONSULTANT arising out of or in connection with the work to be performed under this Agreement, including coverage for owned, hired, and non-owned vehicles, in an amount of not less than one million dollars (\$1,000,000) combined single limit for each occurrence.
- 4. Professional Liability Coverage. The CONSULTANT shall maintain professional errors and omissions liability insurance for protection against claims alleging negligent acts, errors, or omissions which may arise from the CONSULTANT'S operations under this Agreement, whether such operations be by the CONSULTANT or by its employees, subcontractors, or sub-consultants. The amount of this insurance shall not be less than two million dollars (\$2,000,000) and two million aggregate (\$2,000,000) on a claims-made annual aggregate basis, or a combined single-limit-per-occurrence basis. When coverage is provided on a "claims made basis," CONSULTANT will continue to renew the insurance for a period of three (3) years after this Agreement expires or is terminated. Such insurance will have the same coverage and limits as the policy that was in effect during the term of this Agreement, and will cover CONSULTANT for all claims made by CITY arising out of any errors or omissions of CONSULTANT, or its officers, employees or agents during the time this Agreement was in effect.
- B. Endorsements. Each general liability, automobile liability and professional liability insurance policy shall be issued by a financially responsible insurance company or companies admitted and authorized to do business in the State of California, or which is approved in writing by City, and shall be endorsed as follows. CONSULTANT also agrees to require all contractors, and subcontractors to do likewise.
 - 1. "The CITY, its elected or appointed officers, officials, employees, agents, and volunteers are to be covered as additional insureds with respect to liability arising out of work performed by or on behalf of the CONSULTANT, including materials, parts, or equipment furnished in connection with such work or operations."
 - 2. This policy shall be considered primary insurance as respects the CITY, its elected or appointed officers, officials, employees, agents, and volunteers. Any insurance

- maintained by the CITY, including any self-insured retention the CITY may have, shall be considered excess insurance only and shall not contribute with this policy.
- 3. This insurance shall act for each insured and additional insured as though a separate policy had been written for each, except with respect to the limits of liability of the insuring company.
- 4. The insurer waives all rights of subrogation against the CITY, its elected or appointed officers, officials, employees, or agents.
- 5. Any failure to comply with reporting provisions of the policies shall not affect coverage provided to the City, its elected or appointed officers, officials, employees, agents, or volunteers.
- 6. The insurance provided by this policy shall not be suspended, voided, canceled, or reduced in coverage or in limits except after thirty (30) days' written notice has been received by the CITY.
- C. CONSULTANT agrees to provide immediate notice to CITY of any claim or loss against Consultant arising out of the work performed under this agreement. CITY assumes no obligation or liability by such notice but has the right (but not the duty) to monitor the handling of any such claim or claims if they are likely to involve CITY.
- D. Any deductibles or self-insured retentions must be declared to and approved by the CITY. At the CITY's option, the CONSULTANT shall demonstrate financial capability for payment of such deductibles or self-insured retentions.
- E. The CONSULTANT shall provide certificates of insurance with original endorsements to the CITY as evidence of the insurance coverage required herein. Certificates of such insurance shall be filed with the CITY on or before commencement of performance of this Agreement. Current certification of insurance shall always be kept on file with the CITY during the term of this Agreement.
- F. Failure on the part of the CONSULTANT to procure or maintain required insurance shall constitute a material breach of contract under which the CITY may terminate this Agreement pursuant to Section 11 above.
- 18. <u>USE OF OTHER CONSULTANTS.</u> CONSULTANT must obtain CITY's prior written approval to use any consultants while performing any portion of this Agreement. Such approval must include approval of the proposed consultant and the terms of compensation.
- 19. FINAL PAYMENT ACCEPTANCE CONSTITUTES RELEASE. The acceptance by the CONSULTANT of the final payment made under this Agreement shall operate as and be a release of the CITY from all claims and liabilities for compensation to the CONSULTANT for anything done, furnished or relating to the CONSULTANT'S work or services. Acceptance of payment shall be any negotiation of the CITY'S check or the failure to make a written extra compensation claim within ten (10) calendar days of the receipt of that check. However, approval or payment by the CITY shall not constitute, nor be deemed, a release of the responsibility and liability of the

CONSULTANT, its employees, sub-consultants and agents for the accuracy and competency of the information provided and/or work performed; nor shall such approval or payment be deemed to be an assumption of such responsibility or liability by the CITY for any defect or error in the work prepared by the Consultant, its employees, sub-consultants and agents.

- **20.** <u>CORRECTIONS.</u> In addition to the above indemnification obligations, the CONSULTANT shall correct, at its expense, all errors in the work which may be disclosed during the City's review of the Consultant's report or plans. Should the Consultant fail to make such correction in a reasonably timely manner, such correction shall be made by the CITY, and the cost thereof shall be charged to the CONSULTANT. In addition to all other available remedies, the City may deduct the cost of such correction from any retention amount held by the City or may withhold payment otherwise owed CONSULTANT under this Agreement up to the amount of the cost of correction.
- 21. NON-APPROPRIATION OF FUNDS. Payments to be made to CONSULTANT by CITY for services preformed within the current fiscal year are within the current fiscal budget and within an available, unexhausted fund. In the event that CITY does not appropriate sufficient funds for payment of CONSULTANT'S services beyond the current fiscal year, the Agreement shall cover payment for CONSULTANT'S only to the conclusion of the last fiscal year in which CITY appropriates sufficient funds and shall automatically terminate at the conclusion of such fiscal year.
- **22.** <u>NOTICES</u>. All communications to either party by the other party will be deemed made when received by such party at its respective name and address as follows:

CITY	CONSULTANT
City of Tulelake	
PO Box 847	
591 Main Street	
Tulelake, CA 96134	
	ATTN:
ATTN: City Hall Administrator	

Any such written communications by mail will be conclusively deemed to have been received by the addressee upon deposit thereof in the United States Mail, postage prepaid and properly addressed as noted above. In all other instances, notices will be deemed given at the time of actual delivery. Changes may be made in the names or addresses of persons to whom notices are to be given by giving notice in the manner prescribed in this paragraph. Courtesy copies of notices may be sent via electronic mail, provided that the original notice is deposited in the U.S. mail or personally delivered as specified in this Section.

23. <u>SOLICITATION</u>. CONSULTANT maintains and warrants that it has not employed nor retained any company or person, other than CONSULTANT's bona fide employee, to solicit or secure this Agreement. Further, CONSULTANT warrants that it has not paid nor has it agreed to pay any company or person, other than CONSULTANT's bona fide employee, any fee, commission, percentage, brokerage fee, gift or other consideration contingent upon or resulting from the award or making of this Agreement. Should CONSULTANT breach or violate this warranty, CITY may rescind this Agreement without liability.

- **24.** THIRD PARTY BENEFICIARIES. This Agreement and every provision herein are generally for the exclusive benefit of CONSULTANT and CITY and not for the benefit of any other party. There will be no incidental or other beneficiaries of any of CONSULTANT's or CITY's obligations under this Agreement.
- **25.** <u>INTERPRETATION</u>. This Agreement was drafted in and will be construed in accordance with the laws of the State of California, and exclusive venue for any action involving this agreement will be in Los Angeles County.
- **26.** <u>ENTIRE AGREEMENT</u>. This Agreement, and its Attachments, sets forth the entire understanding of the parties. There are no other understandings, terms or other agreements expressed or implied, oral, or written.
- **27.** <u>RULES OF CONSTRUCTION</u>. Each Party had the opportunity to independently review this Agreement with legal counsel. Accordingly, this Agreement will be construed simply, as a whole, and in accordance with its fair meaning; it will not be interpreted strictly for or against either Party.
- **28.** <u>AUTHORITY/MODIFICATION</u>. The Parties represent and warrant that all necessary action has been taken by the Parties to authorize the undersigned to execute this Agreement and to engage in the actions described herein. This Agreement may be modified by written amendment with signatures of all parties to this Agreement. CITY's city administrator, or designee, may execute any such amendment on behalf of CITY.
- 29. <u>ACCEPTANCE OF FACSIMILE OR ELECTRONIC SIGNATURES</u>. The Parties agree that this Contract, agreements ancillary to this Contract, and related documents to be entered into in connection with this Contract will be considered signed when the signature of a party is delivered by facsimile transmission or scanned and delivered via electronic mail. Such facsimile or electronic mail copies will be treated in all respects as having the same effect as an original signature.
- **30.** <u>FORCE MAJEURE</u>. Should performance of this Agreement be impossible due to fire, flood, explosion, war, embargo, government action, civil or military authority, the natural elements, or other similar causes beyond the Parties' control, then the Agreement will immediately terminate without obligation of either party to the other.
- **31.** <u>TIME IS OF ESSENCE</u>. Time is of the essence to comply with dates and schedules to be provided.
- 32. <u>ATTORNEY'S FEES.</u> The parties hereto acknowledge and agree that each will bear his or its own costs, expenses and attorneys' fees arising out of and/or connected with the negotiation, drafting and execution of the Agreement, and all matters arising out of or connected therewith except that, in the event any action is brought by any party hereto to enforce this Agreement, the prevailing party in such action shall be entitled to reasonable attorneys' fees and costs in addition to all other relief to which that party or those parties may be entitled.
- **33.** <u>STATEMENT OF EXPERIENCE</u>. By executing this Agreement, CONSULTANT represents that it has demonstrated trustworthiness and possesses the quality, fitness, and capacity to

perform the Agreement in a manner satisfactory to CITY. CONSULTANT represents that its financial resources, surety and insurance experience, service experience, completion ability, personnel, current workload, experience in dealing with private consultants, and experience in dealing with public agencies all suggest that CONSULTANT is capable of performing the proposed contract and has a demonstrated capacity to deal fairly and effectively with and to satisfy a public agency.

34. <u>DISCLOSURE REQUIRED.</u> (City and Consultant initials required at one of the following paragraphs)

By their respective initials next to this paragraph, City and Consultant hereby acknowledge that Consultant is a "consultant" for the purposes of the California Political Reform Act because Consultant's duties would require him or her to make one or more of the governmental decisions set forth in Fair Political Practices Commission Regulation 18701(a)(2) or otherwise serves in a staff capacity for which disclosure would otherwise be required were Consultant employed by the City. Consultant hereby acknowledges his or her assuming-office, annual, and leaving-office financial reporting obligations under the California Political Reform Act and the City's Conflict of Interest Code and agrees to comply with those obligations at his or her expense. Prior to consultant commencing services hereunder, the City's Manager shall prepare and deliver to consultant a memorandum detailing the extent of Consultant's disclosure obligations in accordance with the City's Conflict of Interest Code.

City Initials	
Consultant Initials _	

OR

By their initials next to this paragraph, City and Consultant <u>hereby acknowledge that Consultant is not a "consultant" for the purpose of the California Political Reform Act</u> because Consultant's duties and responsibilities are not within the scope of the definition of consultant in Fair Political Practice Commission Regulation 18701(a)(2)(A) and is otherwise not serving in staff capacity in accordance with the City's Conflict of Interest Code.

City Initials _	
Consultant Initials	

IN WITNESS WHEREOF the parties hereto have executed this contract the day and year first hereinabove written.

CITY OF TULELAKE		CONSULTANT
HENRY A. EBINGER, MAYOR	_ By:	NAME: TITLE:
	By:	NAME: TITLE:
ATTEST:		
Raul Figueroa Tamayo, City Clerk	_	Tax ID No.
APPROVED AS TO FORM:		
Megan Annand, City Attorney	<u> </u>	

Two signatures are required if a corporation

NOTE: CONSULTANT'S SIGNATURES SHALL BE DULY NOTARIZED, AND APPROPRIATE ATTESTATIONS SHALL BE INCLUDED AS MAY BE REQUIRED BY THE BYLAWS, ARTICLES OF INCORPORATION, OR OTHER RULES OR REGULATIONS APPLICABLE TO DEVELOPER'S BUSINESS ENTITY.

	ificate verifies only the identity of the individual who signed the the truthfulness accuracy, or validty of that document.
State of California	
County of	
Onbefore me,	
Date	Here Insert Name and Title of the Officer
personally appeared	
	Name(s) of Signer(s)
subscribed to the within instrument and acknowledge	ory evidence to be the person(s) whose name(s) is/are pulledged to me that he/she/they executed the same in his/her/their signature(s) on the instrument the person(s) acted, executed the instrument.
	I certify under PENAL1Y OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
	WITNESS my hand and official seal.
	Signature
	Signature of Notary Public
Though this section is optional, completing the	nis information can deter alteration of the document or this form to an unintended document.
Description of Attached Document Title or Type of Document:	
	Number of Pages:
Other Signature Than Named Above:	
pacity(ies)Claimed by Signer(s)	
Signer's Name:	Signer's Name:
□CorporateOfficer - Title(s):	- □ Partner - □ Limited □ General
□Partner - □ Limited □General	☐Individual ☐ Attorney in Fact
□ Individual □ Attorney in Fact □ Guardian or Conservator	☐Trustee ☐ Guardian or Conservator
☐ Prostee ☐ ☐ Guardian of Conservator	Other:
Signer Is Representing:	Signer Is Representing:

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APPENDIX C

EX PARTE COMMUNICATIONS CERTIFICATION

Please indicate by signing below one of the following two statements. Only sign one statement.
I certify that Proposer and Proposer's representatives have not had any communication with a City Councilmember concerning the On Call Engineering Services RFQ at any time after May 28, 2020.
OR
I certify that Proposer or Proposer's representatives have communicated after May 28, 2020 with a City Councilmember concerning the On Call Engineering Services RFQ. A copy of all such communications is attached to this form for public distribution.

APPENDIX D

PRICING PROPOSAL FORM

For On Call Engineering Services

Provide hourly rates as set forth in section 3 Scope of Work. Also provide your firm's proposed Staffing Plan on a separate sheet of paper. Proposer should use a separate form to state pricing for any added value.

Pricing shall remain firm for a minimum of two (2) years. Any and all requests for pricing adjustments for follow-on contract renewal periods shall be provided no later than sixty (60) days prior to the end of the contract period. Any such proposed price adjustments shall not exceed The Bureau of Labor Statistics Consumer Price Index (CPI) data for Los Angles-Riverside-Orange County, CA, All Items, Not Seasonally Adjusted, "annualized change comparing the original proposal month and the same month in the subsequent year. (This information may be found on the U.S. Department of Labor's website at www.bls.gov.)

Employee Name & Title	Hourly Rate	Overtime Rate
	\$	\$
	\$	\$
	\$	\$

APPENDIX E

DISQUALIFICATION QUESTIONNAIRE

The Consultant shall complete the following questionnaire:

Has the Consultant, any officer of the Consultant, or any employee of the Consultant who has proprietary interest in the Consultant, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or safety regulation?

Yes_____ No ____

If the answer is yes, explain the circumstances in the following space.

APPENDIX F

DISCLOSURE OF GOVERNMENT POSITIONS

Each Proposer shall disclose below whether any owner or employee of the firm currently hold positions as elected or appointed officials, directors, officers, or employees of a governmental entity or held such positions in the past twelve months. List below or state "None."

APPENDIX G

STATE AND FEDERAL GRANT REQUIREMENTS

Below are the websites to the following state and federal grant requirements:

Community Development Block Grant

https://www.hcd.ca.gov/grants-funding/active-funding/cdbg/docs/Final-CDBG-Program-Guidelines-2019.pdf

North Coast Regional Water Quality Control Board

https://www.waterboards.ca.gov/northcoast/water_issues/programs/grants/

State Transportation Improvement Program

https://dot.ca.gov/-/media/dot-media/programs/local-assistance/documents/lapg/g23.pdf

State Water Resources Control Board

https://www.waterboards.ca.gov/water_issues/programs/grants_loans/